

<p>DISTRICT COURT, CITY AND COUNTY OF DENVER, COLORADO</p> <p>1437 Bannock Street Denver, CO 80202</p> <hr/> <p>GERALD ROME, Securities Commissioner for the State of Colorado,</p> <p>Plaintiff,</p> <p>v.</p> <p>MARC MANDEL and WALL STREET RADIO, INC. d/b/a WINNING ON WALL STREET,</p> <p>Defendants.</p>	<p>▲ COURT USE ONLY ▲</p>
<p>JOHN W. SUTHERS, Attorney General RUSSELL B. KLEIN, First Assistant Attorney General*</p> <p>Ralph L. Carr Colorado Judicial Center 1300 Broadway, 8th Floor Denver, Colorado 80203 Telephone: 720-508-6413 FAX: 720-508-6037 E-Mail: Russell.klein@state.co.us Registration Number: 31965 *Counsel of Record</p>	<p>Case No.</p>
<p>SUMMONS TO MARC MANDEL</p>	

The People of the State of Colorado

To: MARC MANDEL

You are hereby summoned and required to file with the Clerk of the Court an answer or other response to the attached Complaint for Injunctive and Other Relief within twenty-one (21) days after this summons is served on you in the State of Colorado, or within thirty-five (35) days after this summons is served on you outside the State of Colorado.

If you fail to file your answer or other response to the Complaint for Injunctive and Other Relief in writing within the applicable time period, judgment by default may be entered against you by the court for the relief demanded in the Complaint, without further notice to you.

The following documents are also served with this Summons: Complaint for Injunctive and Other Relief, and; District Court Civil (CV) Case Cover Sheet for Initial Pleading of Complaint, Counterclaim, Cross-Claim or Third Party Complaint.

DATED this 30th day of October, 2014.

JOHN W. SUTHERS
Attorney General

/s/ Russell B. Klein

RUSSELL B. KLEIN, 31965*
First Assistant Attorney General
Financial and Health Services Unit
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*Counsel of Record